Risk Profiling and Intelligence-Led Enforcement:
A Winning Combination

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Moving toward Intelligence-led Enforcement (ILE)

- INECE Seaport Network introduced the concept at the Asian Network workshop in Cambodia

- Seaport Network presented interactive exercise incorporating ILE concepts

- During INECE 2nd Inspection Project , intelligence-led enforcement was the most commonly used method of targeting

Risk Profiling

- Methodology to identify high risk or suspicious shipments in order to successfully target inspections, based on collection and analysis of information from number of sources

- Means of maximizing potential enforcement return from expenditure of limited available resources for inspections and criminal investigations

Targeting Scheme Based on ILE

- Targeting information based on returned containers and previous inspection events:

  - Waste designation: waste plastic, plastic scrap, metal scrap
  - Company, shipper: previous history of illegal activity
  - Receiving country
  - Unusual routing
  - Undervalued materials

Benefits of Risk Profiling

- Risk profiling greatly improves return on investment in environmental enforcement activities.

- Effective risk profiling depends on good relationships with enforcement partners, both domestic and international, and participation in networks (INTERPOL, INECE, regional enforcement networks).

- Integrating risk profiling into intelligence-led enforcement system yields enhanced results.

Putting Limited Resources to Work

- Risk profiling provides valuable inputs for intelligence-led enforcement (ILE), and ILE in turn enhances quality of risk profiling.

- ILE is proven decision-making process that achieves:

  - Vastly expanded visualization of enforcement landscape

  - Better decision-making

  - Broader disruption and dismantling of illegal activity

Activities to enhance intel-led enforcement

Develop and maintain partnerships: Interpol, WCO, UNEP

Use intel reports to enhance inspections and criminal enforcement efforts

Advertise successes to act as a deterrent to illegal activities

Outreach to countries from which illegal shipments originate

What is needed for ILE

Top-level management support to allow intel-led enforcement activities to produce results

Full-time, trained intelligence analysts to manage data and draw relationships and trends for use by the field and management.

ILE Challenges

- Implementation challenges:
  - legal restrictions (what can we share)
  - IT requirements (software requirements)
  - security issues (ability to share electronically)
  - technical issues (how to use shared information
- Tracking shipments for export
  - No harmonized tariff code for used electronics
  - Non-resident brokers
  - Tracking containers vs. tracking ships
- Focus on imports and not exports
- International coordination
  - Lack of contacts in receiving countries
  - Different regulatory frameworks
- Cost of inspections, testing and storage
- Sharing intelligence

ILE Path Forward

Most important consideration:

Intel-led enforcement needs time to mature

-Quality and quantity of exchanged information will increase with time

-Partnerships will bear more fruit with time

-Our global understanding of the illegal trade will grow allowing more opportunities to disrupt and prosecute